

# Sequence Analysis and Characterization of Active Human *Alu* Subfamilies Based on the 1000 Genomes Pilot Project

Miriam K. Konkel<sup>1,†</sup>, Jerilyn A. Walker<sup>1,†</sup>, Ashley B. Hotard<sup>1</sup>, Megan C. Ranck<sup>1</sup>, Catherine C. Fontenot<sup>1</sup>, Jessica Storer<sup>1,2</sup>, Chip Stewart<sup>3,4</sup>, Gabor T. Marth<sup>3,5</sup>, The 1000 Genomes Consortium, and Mark A. Batzer<sup>1,\*</sup>

<sup>1</sup>Department of Biological Sciences, Louisiana State University

<sup>2</sup>Department of Molecular, Cellular and Developmental Biology, The Ohio State University

<sup>3</sup>Department of Biology, Boston College

<sup>4</sup>Cancer Genome Computational Analysis, Cambridge, MA

<sup>5</sup>Eccles Institute of Human Genetics, University of Utah

\*Corresponding author: E-mail: mbatzer@lsu.edu.

<sup>†</sup>These authors contributed equally to this work.

Accepted: August 23, 2015

Data deposition: Sequences have been deposited at GenBank under the accessions KT305395–KT305737.

## Abstract

The goal of the 1000 Genomes Consortium is to characterize human genome structural variation (SV), including forms of copy number variations such as deletions, duplications, and insertions. Mobile element insertions, particularly *Alu* elements, are major contributors to genomic SV among humans. During the pilot phase of the project we experimentally validated 645 (611 intergenic and 34 exon targeted) polymorphic “young” *Alu* insertion events, absent from the human reference genome. Here, we report high resolution sequencing of 343 (322 unique) recent *Alu* insertion events, along with their respective target site duplications, precise genomic breakpoint coordinates, subfamily assignment, percent divergence, and estimated A-rich tail lengths. All the sequenced *Alu* loci were derived from the *AluY* lineage with no evidence of retrotransposition activity involving older *Alu* families (e.g., *AluJ* and *AluS*). *AluYa5* is currently the most active *Alu* subfamily in the human lineage, followed by *AluYb8*, and many others including three newly identified subfamilies we have termed *AluYb7a3*, *AluYb8b1*, and *AluYa4a1*. This report provides the structural details of 322 unique *Alu* variants from individual human genomes collectively adding about 100 kb of genomic variation. Many *Alu* subfamilies are currently active in human populations, including a surprising level of *AluY* retrotransposition. Human *Alu* subfamilies exhibit continuous evolution with potential drivers sprouting new *Alu* lineages.

**Key words:** retrotransposon, mobilome, structural variation.

## Introduction

The 1000 Genomes Project is an ongoing series of studies designed to comprehensively identify and characterize all forms of human genomic variation (Abecasis et al. 2010), as well as identify specific types of structural variation (SVs) and their origin and impact on human populations (Mills et al. 2011; Abecasis et al. 2012). The Pilot phase of the project was the first to employ advances in second-generation DNA sequencing technologies to perform population scale high-throughput genome-wide sequencing on multiple human

individuals. The two primary second-generation strategies to detect SVs involved a “read-pair” (RP) method applied to Illumina paired-end short sequence reads and a complementary “split-read” (SR) method applied to longer pyrosequencing reads generated by the Roche/454 platform (Stewart et al. 2011). The Pilot phase was comprised of three strategic approaches, low-coverage, high-coverage, and exon-targeted sequencing (Abecasis et al. 2010). The “low-coverage project” or “P1” consisted of 179 unrelated individuals who were sequenced with an average of 3.6× coverage, including 59

individuals from Yoruba, Nigeria (YRI), 60 individuals of European ancestry from Utah (CEU), 30 of Han ancestry from Beijing, and 30 of Japanese ancestry from Tokyo (Abecasis et al. 2010; Mills et al. 2011). The “high-coverage project,” also called “P2” or the “trio project” consisted of mother–father–offspring trios, one each from CEU and YRI populations, where each of the six individuals was sequenced to 42× coverage on average (Abecasis et al. 2010; Mills et al. 2011).

SVs can be balanced (i.e., inversions) or unbalanced (i.e., deletions, duplications, insertions). Unbalanced SVs are often referred to as copy number variants (CNVs) (Mills et al. 2011). Mobile element insertions (MEIs) are a type of unbalanced CNV known to be major contributors of structural variation (Cordaux and Batzer 2009; Xing et al. 2009, 2013; Abecasis et al. 2010; Beck et al. 2011; Mills et al. 2011; Stewart et al. 2011) with non-LTR (long terminal repeat) retrotransposons, L1 (long interspersed element 1), SVA, and *Alu* classes of MEIs having accumulated in such large copy numbers as to collectively account for one-third or more of the human genome (Lander et al. 2001; Cordaux and Batzer 2009; de Koning et al. 2011). Non-LTR retrotransposons have also been implicated in causing a variety of genetic diseases (Deininger and Batzer 1999; Callinan and Batzer 2006). Although most MEIs are ancient remnants in the genome, having lost their ability to replicate, their residual high sequence identity has contributed to genome instability (Sen et al. 2006; Han et al. 2007; Lee et al. 2008; Cook et al. 2011) and extensive genome rearrangements. Mobile elements are a source of genome instability both through insertion and postinsertion mutagenesis (Cordaux and Batzer 2009; Konkel and Batzer 2010; Deininger 2011; Ade et al. 2013). Younger non-LTR retrotransposons remain active in the human genome, propagating in a “copy and paste” mechanism leading to increased genomic diversity among humans (Xing et al. 2009; Beck et al. 2010; Hormozdiari et al. 2011; Stewart et al. 2011). *Alu* elements are nonautonomous and require the enzymatic machinery of L1 to mobilize (Dewannieux et al. 2003) yet they are the most prolific class of MEI in humans in terms of copy number, having accumulated greater than 1 million copies over the past 65 Myr (Lander et al. 2001; Batzer and Deininger 2002). The typical full-length human *Alu* element is about 300 bp long and has a dimeric structure in which the left monomer contains an RNA polymerase III (pol III) promoter (A and B boxes), followed by a middle A-rich region, right monomer and ending in an oligo (dA)-rich tail (Batzer and Deininger 2002; Deininger 2011; Wagstaff et al. 2012). Although most *Alu* copies have ceased to replicate, the current rate of *Alu* retrotransposition in humans is estimated to be one new insertion in every 20 live births (Cordaux et al. 2006), resulting in potentially 300 million recent *Alu* insertions in human populations globally (Bennett et al. 2008) with a potential for profound impact on human biology.

Although recent studies have shown that second-generation sequencing represents a powerful tool to identify SVs, including MEIs, with relatively low false positive detection rates, the need for detailed and widespread validations, especially in regions with high repeat content, has become evident (Mills et al. 2011; Stewart et al. 2011). During the Pilot phase of the 1000 Genomes Project, roughly 4,500 recent *Alu* insertion events absent from the human reference genome [hg18] were discovered (Stewart et al. 2011). Approximately 200 elements were randomly selected from each of the four insertion call sets (P1/RP, P1/SR, P2/RP, and P2/SR) for polymerase chain reaction (PCR) validation experiments and from these we experimentally validated 645 (611 intergenic and 34 exon targeted) recent polymorphic *Alu* insertion events, representing all three strategic approaches, low-coverage, high-coverage, and exon-targeted insertion events (for details see Stewart et al. 2011). Due to the nature of RP and SR second-generation sequencing technologies, *Alu* subfamily classification from this data set was performed by reconstruction of the supporting fragment reads to map each candidate insertion against the human reference genome, followed by RepeatMasker (Smit et al. 1996–2010) analysis to identify the *Alu* subfamily.

The goal of this project was to perform high-resolution Sanger chain termination DNA sequencing (Sanger et al. 1977) on a subset of at least 50% of these validated polymorphic *Alu* MEI events to report: 1) Complete *Alu* sequences including the variable middle A-rich region and immediate flanking sequence of the *Alu* element; 2) precise genomic insertion coordinates; 3) target site duplications (TSDs), and *Alu* subfamily analysis for each locus.

## Materials and Methods

Following the original locus-specific PCR validation experiments reported in Stewart et al. (2011), all 34 validated *Alu* insertions located in exon-targeted regions were Sanger sequenced. Subsequent detailed analyses (Mills et al. 2011) concluded that the actual locations of these exon-targeted elements were almost exclusively intronic or untranslated region (UTR)-overlap with minimal affect to coding exons. Concurrently in the laboratory, *Alu* loci from the 611 validated intergenic insertion events were being randomly selected for Sanger sequencing. Once a locus was attempted to be sequenced it was considered “selected” and follow-up experiments were conducted until the locus was successfully Sanger sequenced with confidence. The process continued until we had roughly 50% of the data set completed. This was an arbitrary cut off point with the objective being to obtain a representative subset of ample sample size within a reasonable time frame.

## DNA Samples

All DNA samples used for Sanger sequencing were selected from the PCR validation results showing a “filled site” or *Alu* present confirmation in a particular individual. The ID of the individual used for sequencing as well as the *Alu* genotype of that individual (+/+ : homozygous present for the insertion; or +/- : heterozygous) is shown in [supplementary file S3, tables S1 and S2, Supplementary Material](#) online, for each locus. DNA samples for the original PCR validations consisted of a subset of 25 DNA samples from the 179 Pilot 1 low coverage samples: Ten European samples (CEPH/Utah USA), NA11881, NA12043, NA07346, NA07347, NA11894, NA07357, NA12003, NA11831, NA06986, and NA12828; five African samples (HAPMAP/YRI) NA18504, NA18870, NA18912, NA19210, and NA19201; five Chinese samples (HAPMAP/Han Chinese) NA18572, NA18577, NA18537, NA18563, and NA18542; and five Japanese samples (HAPMAP/Japanese, Tokyo) NA18942, NA18943, NA18944, NA18945, and NA18953. Pilot 2 PCR validation experiments included six DNA samples, CEU trio: NA12878 (daughter), NA12891 (father), and NA12892 (mother); and YRI trio: NA19238 (mother), NA19239 (father), and NA19240 (daughter). These Pilot 1 & 2 DNA samples were purchased from the Coriell Institute for Medical Research (Camden, NJ). In addition to the subset of 25 individuals used for the Pilot 1 validations, four more DNA samples from the low coverage Pilot 1 data set were obtained for subsequent experiments. DNA samples NA12872, NA12814, NA12815, and NA12044 (CEPH/Utah USA) were purchased from the Coriell Institute for Medical Research. Then, all 35 samples (25 + 6 + 4) were used for PCR validations associated with MEI events detected specifically from exon-targeted regions.

## Oligonucleotide Primer Design

Most oligonucleotide primers used for PCR reactions in this study were designed for the original validation experiments as reported previously (Stewart et al. 2011). In cases in which the original primers were not acceptable for Sanger sequencing, primers for individual loci were redesigned as needed ([supplementary file S3, table S3, Supplementary Material](#) online) using Primer3 on-line software (Rozen 1998), ([http://biotools.umassmed.edu/bioapps/primer3\\_www.cgi](http://biotools.umassmed.edu/bioapps/primer3_www.cgi), last accessed September 1, 2015). We also designed five primers within the *Alu* sequence, three in the forward orientation and two in the reverse, that were used exclusively for sequencing experiments to obtain a high confidence consensus sequence in both directions for each *Alu* in the data set. The internal forward primers sequenced into the A-tail providing a confident estimation of A-tail length. The internal reverse primers sequenced out the “front” of each *Alu* confirming the 5' flanking sequence upstream of the *Alu* element and the precise preintegration site. Virtual PCR was performed for each locus using the in silico function of BLAT (Kent 2002) to obtain the

estimated PCR product size for the empty (no insertion) and the filled size (insertion present). Primers were ordered from Sigma Aldrich (Woodlands, TX).

## PCR Analysis

PCR amplifications were performed in 25  $\mu$ l reactions containing 15–50 ng of template DNA; 200 nM of each oligonucleotide primer; 1.5–3.0 mM  $MgCl_2$ , 10 $\times$  PCR buffer (1 $\times$ :50 mM KCl; 10 mM Tris-HCl, pH 8.4); 0.2 mM dNTPs; and 1–2 U *Taq* DNA polymerase. PCR reactions were performed under the following conditions: Initial denaturation at 94 °C for 60 s, followed by 32 cycles of denaturation at 94 °C for 30 s, 30 s at optimum annealing temperature, and extension at 72 °C for 30 s. PCRs were terminated with a final extension at 72 °C for 2 min. 20  $\mu$ l of each PCR product were fractionated in a horizontal gel chamber on a 2% agarose gel containing 0.2  $\mu$ g/ml ethidium bromide for 60–70 min at 175 V. UV-fluorescence was used to visualize the DNA fragments and images were saved using a BioRad ChemiDoc XRS imaging system (Hercules, CA).

## Sanger Sequencing

Four PCR fragments per locus were gel purified using a Wizard SV gel purification kit (Promega Corporation, Madison, WI, catalog A9282) according to the manufacturer's instructions with the following modification. The 50  $\mu$ l elution step was performed twice, resulting in 100  $\mu$ l, which was then dried in a SpeedVac (ThermoSavant SPD 111 V). The DNA was reconstituted in 30  $\mu$ l TVLE (tris very low ethylenediaminetetraacetic acid [EDTA], 10 mM Tris/0.05 mM EDTA) and 4  $\mu$ l was used for chain termination cycle sequencing using BigDye Terminator v3.1. Cycle sequencing was performed under the following conditions: After an initial denaturation at 95 °C for 2 min, 40 cycles at 95 °C for 10 s, 50 °C for 5 s, and 60 °C for 4 min were performed followed by a hold at 4 °C. Sequencing reactions were cleaned by standard ethanol precipitation to remove any unincorporated dye terminators and then stabilized in 15  $\mu$ l Hi-Di Formamide (Life Technologies, Inc.). Capillary electrophoresis was performed on an ABI 3130xl Genetic Analyzer (Applied Biosystems, Inc., Foster City, CA).

## Cloning and Sequencing

In rare cases (<1%), sequencing using the PCR primers remained unresolved even after several attempts. When this occurred, gel-purified PCR products were cloned using a TOPO TA cloning kit for sequencing (Invitrogen Corporation, Carlsbad, CA, catalog K4575-40) according to the manufacturer's instructions. For each candidate locus, at least three clones were picked from lysogeny broth (LB)/amp plates and verified for the presence of an insert of the expected size using colony PCR. Following overnight incubation in LB at 37 °C, plasmid mini-preps were performed using the FastPlasmid Mini Kit (5 PRIME, Inc., Gaithersburg, MD) according to the

manufacturer's instructions. Generic M13 forward and reverse primers were used for cycle sequencing PCR as described above.

### Sequence Analysis

Following capillary electrophoresis, sequence quality was evaluated using ABI software Sequence Scanner v1.0. Sequence alignment figures were constructed in BioEdit (Hall 1999) and a consensus sequence for each locus was determined from the multiple forward and reverse Sanger sequences obtained for each locus. *Alu* elements in the reverse orientation (minus strand) were reverse complimented to be viewed in BioEdit in the forward orientation. Once the consensus sequence for each locus was determined, data were recorded for TSDs, endonuclease cleavage site, and estimated A-tail length. Then, a query sequence which included some flanking sequence along with the *Alu* junction was screened in BLAT (Kent 2002) to determine the precise insertion coordinates. The integration site in the reference genome was identified as the nucleotide junction between the last base matching the reference genome upstream of the *Alu* and the adjacent base (typically the first base following the 3' TSD). Using this convention, the insertion site coordinate was always at the last base pair of the 5' TSD before the element. This is in contrast to some coordinates of the 1000 Genomes Pilot release data set which primarily focused on deletions and therefore the breakpoint even for MEI events was generally determined as the first base pair of the 5' TSD, without regard for element orientation. We initially used human reference genome [hg18] coordinates for all our analyses to be consistent with the source data as reported in Stewart et al. (2011), but also report the [hg19] insertion coordinates for each locus converted using the LiftOver function of the UCSC genome browser (Kent et al. 2002).

The multiple alignment diagram of the new *Alu* subfamilies discovered in this study was constructed using the "view alignment report" option in MegAlign with the ClustalW algorithm followed by manual formatting of "alignment report contents" under Options (DNASTAR, Inc. Version 5.0 for Windows). The alignment report output was saved as a text file, followed by more manual refinement and labeling in Microsoft Word for Windows.

## Results

We report Sanger sequencing results for 343 polymorphic *Alu* MEI events from the 1000 Genomes Pilot Project, 309 of the 611 intergenic insertion events representing each of the four insertion call sets (P1/RP, P1/SR, P2/RP and P2/SR), as well as all 34 validated *Alu* elements from exon-targeted regions which resided primarily within intronic and UTR-overlap regions (see Materials and Methods). These completed *Alu* consensus sequences (a consensus of multiple Sanger-sequenced amplicons for each locus) along with some genomic flanking

sequence are available in FASTA format (supplementary file S1, Supplementary Material online), as GB files in BioEdit (Hall 1999) (supplementary file S2, Supplementary Material online) and have been deposited in GenBank under accession numbers KT305395–KT305737. A comprehensive summary table is available as supplementary file S3; tables S1 and S2, Supplementary Material online. Data for each locus include the original call set (P1 or P2 and Illumina [RP] or 454 [SR]), the subject identification number for the individual used for sequencing along with that individual's population affiliation and genotype at the respective locus, the genomic insertion coordinates, the *Alu* subfamily, the percent divergence from the subfamily consensus sequence, estimated A-tail length, and TSDs. Redesigned and supplemental PCR primers used for Sanger sequencing which differed from the original validation experiments are listed in supplementary file S3, table S3, Supplementary Material online.

### Deletions and Duplicate Calls

One criteria of the original MEI call sets was that all calls were absent from the human reference genome. Sequencing results identified six loci (of the 309 set; ~2%) which appear to be lineage-specific deletions from the reference genome rather than novel *Alu* insertions. Five were classified as deletions based on sections of flanking sequence on at least one side of the *Alu* being deleted from the reference genome and by alignment with the chimpanzee genome [panTro4]. For the sixth event, locus #209, the beginning of the *Alu* sequence is present in the reference genome followed by a 550-bp deletion (supplementary files S1 and S3, table S1, Supplementary Material online).

For further analysis we removed the six loci determined to be deletions (highlighted in red in supplementary file S3, table S1, Supplementary Material online), and sorted our data set by insertion coordinates to identify any potential duplicate loci. As with the original validation sets some redundancy occurred due to the presence of the same *Alu* insertion candidate locus being detected in multiple call sets (Pilot 1 vs. Pilot2 or Illumina [RP] vs. 454 [SR]) followed by random selection of candidate loci for validation. Our sequenced loci included four duplicates from P1 which were called by both Illumina and 454 platforms (highlighted in blue in supplementary file S3, table S1, Supplementary Material online). As expected, the nucleotide sequence including the insertion site of the *Alu* was identical between these duplicates. In each case, we elected to remove the 454 (SR) duplicate. There were also multiple instances in which the same locus was in both the P1, low-coverage, and the P2, high-coverage trio data sets. Because P1 and P2 contained different human subjects it was important to record all the genotype and sequence data, but for the distribution of *Alu* subfamilies and subsequent analyses, it was important to retain only unique novel insertion events. Our sequenced *Alu* loci included 11 present in both P1 and P2 data sets

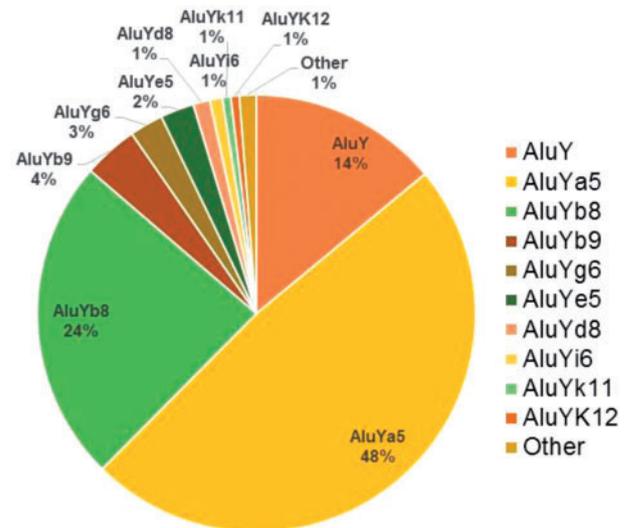
(highlighted in yellow in [supplementary file S3, table S1, Supplementary Material](#) online). Once again, the nucleotide sequence including the preintegration site of the *Alu* insertion was identical between these duplicates. For consistency, we removed the P1 duplicate and retained the P2 locus.

### Distribution of Active *Alu* Subfamilies

Following the removal of the 6 deletion events and 15 duplicate loci, 288 unique intergenic (P1/RP:  $N=64$ , P1/SR:  $N=124$ , P2/RP:  $N=41$ , and P2/SR:  $N=59$ ), and 34 exon-targeted *Alu* MEI events remained in our data set. These insertions were randomly distributed across the genome based on the larger full set of MEI events reported previously (Stewart et al. 2011). Subfamily analysis using RepeatMasker ([www.repeatmasker.org](http://www.repeatmasker.org), last accessed September 1, 2015) (Smit et al. 1996–2010) detected no appreciable difference in the *Alu* subfamily distribution between intergenic and exon-targeted elements and therefore the *Alu* subfamily distribution for the combined 322 loci is shown in figure 1. All 322 elements were derived from the *AluY* lineage with no evidence of older *AluJ* or *AluS* retrotransposition activity. The complete RepeatMasker output report is available as [supplementary file S4, tables S4 and S5, Supplementary Material](#) online. The most active human *Alu* subfamilies are *AluYa5* and *AluYb8* as reported previously (Carroll et al. 2001; Hormozdiari et al. 2011; Stewart et al. 2011) representing 48% and 24% of our data set, respectively. The ancestral *AluY* is considered the oldest of the “young” *Alu* subfamilies and the progenitor of all the subsequent subfamilies of the Y-lineage (Batzer et al. 1996). Yet about 14% of the young *Alu* elements we sequenced were identified as *AluY*, suggesting ongoing retrotransposition of this progenitor subfamily. We also observed moderate activity of the *AluYb9* subfamily as well as lower levels of recent retrotransposition among ten other *Alu* subfamilies (fig. 1).

### Characterization of Confirmed Novel *Alu* Insertions

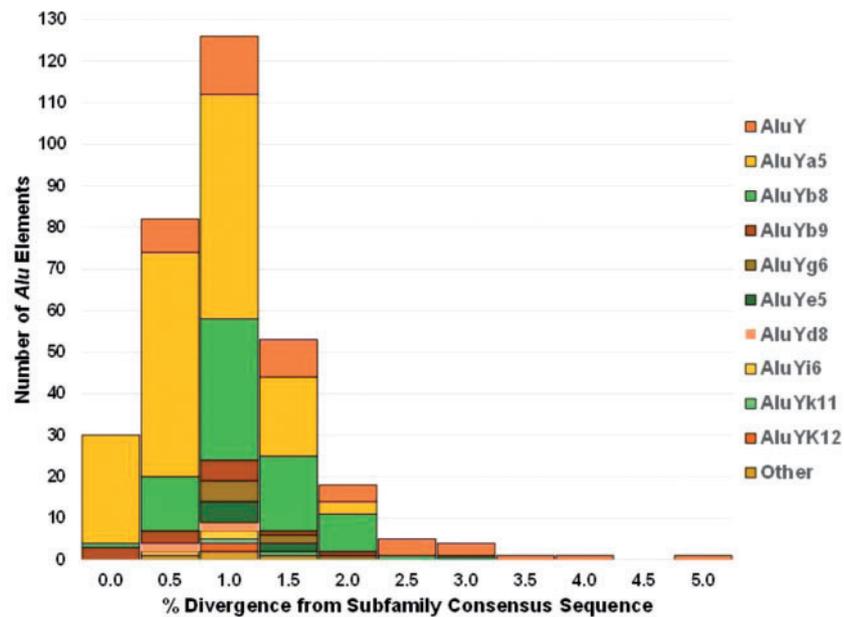
The percent divergence from each subfamily consensus sequence for all 322 novel *Alu* insertions ranged from 0.0% to 6.6% with an average of 0.8% and a standard deviation of 0.7%. The maximum value of 6.6% is for exon-targeted *Alu* #51 which is 5' truncated by 98bp and represents an extreme outlier in the data set by being more than 8 SD away from the mean, within the *AluYb8* subfamily which otherwise has a range of 0.0–2.1% divergence. With this locus removed from the calculation, the maximum value is 4.8% divergence (for a full-length *AluY*), the average is still 0.8%, and the standard deviation is 0.6%. The distribution of percent divergence from each subfamily consensus sequence is shown in figure 2. A total of 30 elements ( $N=26$  Ya5,  $N=3$  Yb9, and  $N=1$  Yb8) were scored by RepeatMasker (Smit et al. 1996–2010) as having 0.0% divergence from their respective consensus sequences (about 9.3% of the data set). All 156



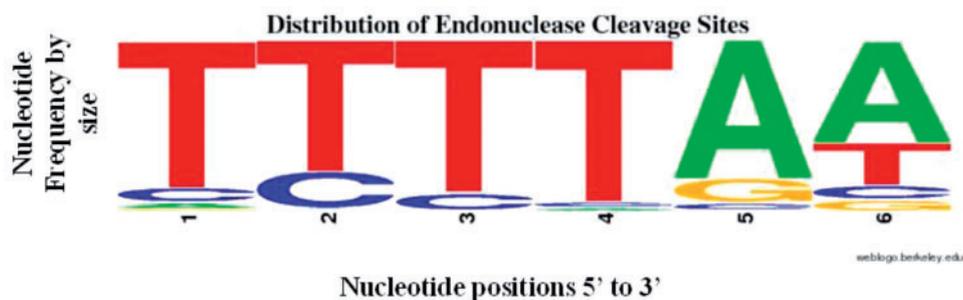
**Fig. 1.**—Distribution of active *Alu* subfamilies. The percent membership to each *Alu* subfamily based on 322 unique *Alu* elements in the RepeatMasker subfamily analysis. The category “Other” is comprised one *Alu* element each from subfamilies Ya8, Yc1, Yh7, and Yj4.

*AluYa5* elements (yellow) and 75 of 76 *AluYb8* elements (green) were  $\leq 2\%$  diverged from their respective consensus sequence, providing strong support for their recent genomic integration. As expected the ancestral *AluY* subfamily (orange) showed the broadest distribution of percent divergence from the *AluY* consensus sequence. Typically, as percent divergence increases the estimated age of the insertion event also increases, as older elements have more time to accumulate random mutations. However, because this data set is comprised exclusively of proven young polymorphic *Alu* elements, the broad range in divergence is suggestive of the amplification of secondary source elements, or multiple members within the *AluY* subfamily capable of making new copies, thus increasing diversity.

The distribution of endonuclease cleavage sites for the 322 Sanger-sequenced *Alu* insertions is graphically displayed in WebLogo format (Schneider and Stephens 1990; Crooks et al. 2004) (fig. 3) and listed individually for each locus in [supplementary file S3, tables S1 and S2, Supplementary Material](#) online. Although the most common nucleotide at each position, as depicted by letter size in figure 3, corresponds to the typical 5'-TTTT/AA-3' recognition sequence for L1 endonuclease (Feng et al. 1996; Jurka 1997; Konkel et al. 2010), only 72 elements (22.5%) contained an exact match to that sequence, whereas the majority did not, further underlining that the human/primate-specific L1 endonuclease is not entirely restricted to the canonical 5'-TTTT/AA-3' endonuclease cleavage site. In particular, the second nucleotide of the endonuclease cleavage site frequently (21.3% of elements) contained a cytosine instead of a tyrosine. In fact, 5'-TCTT/AA-3' has been reported previously as the second most



**FIG. 2.**—Distribution of the percent divergence from the subfamily consensus sequence for each *Alu* element based on RepeatMasker. All Ya5 elements (yellow) and over 96% of all the *Alu* elements have  $\leq 2\%$  divergence from the respective consensus sequences. *Alu* elements with greater than 2% divergence are primarily *AluY* elements in addition to one each Yb8 and Ye5 elements.



**FIG. 3.**—Distribution of endonuclease cleavage sites for 322 unique *Alu* elements as a WebLogo diagram. The nucleotide frequency at each of the six positions is graphically proportional to the height of each letter.

frequently observed hexa-nucleotide pattern adjacent to the primary nick site associated with retrotransposon insertions in the genome (Jurka 1997) and in vitro (Morrish et al. 2002), consistent with our data set.

All 322 analyzed *Alu* elements were 3' intact displaying A-rich tails of varying lengths, and nearly all (320) were surrounded by TSDs consistent with the target-primed reverse transcription (TPRT) integration mechanism using L1 endonuclease and reverse transcriptase (Luan et al. 1993). We found no evidence that any of these *Alu* insertions integrated through a recombination event, indicating that the original calling algorithm selected for TPRT events. Two exon-targeted *Alu* insertions, #30 and #40 (see [supplementary file S1, Supplementary Material](#) online), lacked clearly defined TSDs, but were also severely 5' truncated, 67 and 160 bp,

respectively. The most likely endonuclease cleavage site was determined to be 5'-TTTT/AA-3' in both cases. Thus, all *Alu* insertions, including the truncated ones, in the data set are consistent with proper insertion events generated through the enzymatic machinery of L1. Compared with the chimpanzee genome [panTro4], the integration of exon-targeted *Alu* elements #30 and #40 appears to be combined with short deletions of A-T rich stretches of approximately 19 and 10 bp, respectively, obscuring the TSDs during these 5' truncated insertion events. In total, 14% (45 of 322) of the sequenced *Alu* insertions were 5' truncated as defined here as having a start position after the third base pair of the *Alu* sequence. The severity of 5' truncation ranged from a start position of 4 bp to a start position of 193 bp within the *Alu* sequence,  $N = 14$  elements 4–25 bp;  $N = 20$  elements 26–50 bp;  $N = 5$  elements

21–100 bp; and  $N=6$  elements 101–193 bp (see [supplementary files S1 and S3, Supplementary Material](#) online).

Of the 320 sequenced *Alu* elements with intact TSDs, 93% (297) contained perfect TSDs, matching exactly on both the 5'- and 3'-ends of the element. Only 7% of the analyzed *Alu* elements displayed TSDs with mismatches between the 5'- and 3'-ends and almost all of these were single nucleotide potential mismatches based on the sequencing results. This is consistent with very recent *Alu* insertion events with insufficient time for decay. The TSD lengths of the analyzed elements were generally within the expected range of 6–21 bp (Moran et al. 1996; Konkel et al. 2010), with the range across our loci being 7–22 bp with the average and median both being 14 bp.

The vast majority of A-rich tails were characterized as perfect homopolymeric stretches without interruptions. Only 7% of the insertions contained A-tails with one or more nucleotide substitutions. Because nearly all *Alu* insertions were sequenced from PCR products, the exact size of each A-tail was impossible to determine as forward and reverse sequences typically terminated within the homopolymeric stretch of adenosines. However, we estimated the approximate size of each A-tail on the basis of the Sanger sequencing (see Materials and Methods). Sequence alignments estimated that the smallest A-tail was 13 bp and the largest was 62 bp, with an average length of approximately 29 bp. The intactness of the A-tails in addition to the relatively long size of the A-tails further supports the relatively young age of these insertions (Roy-Engel et al. 2002). Longer A-tails free of nucleotide substitutions are among the known characteristics of active source elements (Roy-Engel et al. 2002; Dewannieux and Heidmann 2005).

Another factor critical for *Alu* replication is the structural integrity of internal RNA Pol III promoter A and B boxes located in the left monomer (Mills et al. 2007; Bennett et al. 2008; Comeaux et al. 2009). Also important is the distance between the 3' A-tail of the element and the first downstream Pol III TTTT termination signal, where a distance of about 15 bp or greater results in a strong decrease in retrotransposition ability (Comeaux et al. 2009). Our data set contained 23 *Alu* insertions (7%) in which the TTTT termination was within the 3' TSD or immediately after, and an additional 20 loci where the first downstream termination signal was within 15 bp. Filtering these 43 loci for only full-length elements with intact left monomers (no 5' truncation) that also have an intact A-tail greater than 20 bp in length, resulted in 28 *Alu* elements (about 8.7% of the data set) from seven different subfamilies having all the traditional hallmarks of source elements with the potential ability to generate new insertions. These are highlighted in green in [supplementary file S3, tables S1 and S2, Supplementary Material](#) online. We certainly do not mean to imply that other elements in the data set are necessarily unable to replicate, only that the identification of true *Alu* source elements is complicated and imprecise and

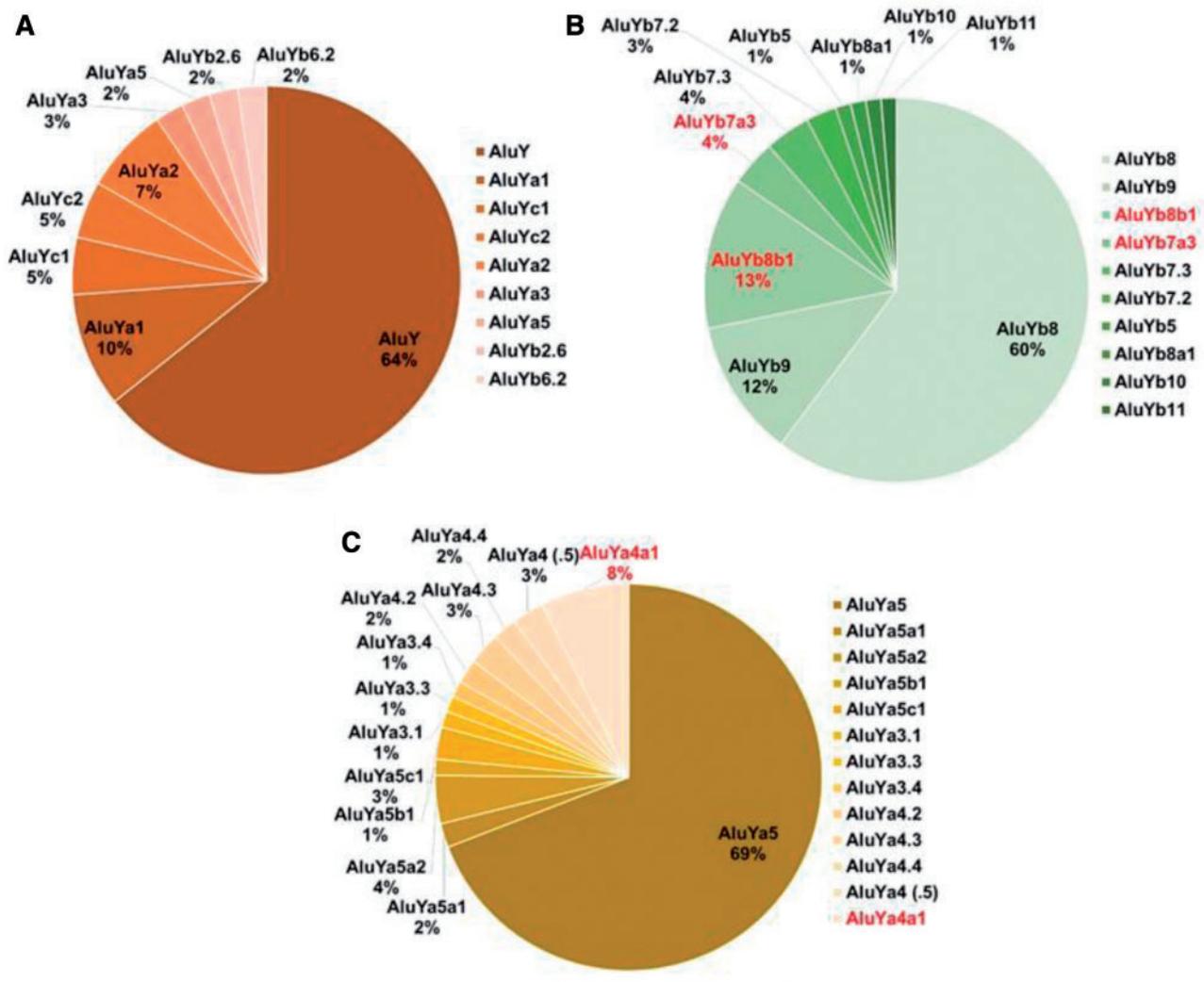
these 28 represent our most likely source candidates from this data set.

### Evolution of *Alu* Subfamilies

Our data set contained two *Alu* elements initially identified as belonging to subfamily *AluYa8*, but upon alignment with the consensus sequences for *AluY*, *Ya5* and *Ya8* were found to be 5' truncated to the extent that the three diagnostic substitutions defining an *Ya8* as different from an *Ya5* were not available. As such, these two loci could not be authenticated as belonging to the *AluYa8* subfamily. Locus 345 was the only full-length *AluYa8* element in our sequenced data set and it also possesses all the known hallmarks of being retrotransposition competent, as described above. This is just one example of the benefit of careful sequence analysis using known subfamily consensus sequences. Recognizing the potential for such confounding factors, we constructed *Alu* sequence alignments for the three primary subfamilies identified in our data set, *AluY*, *AluYb8* and *AluYa5*, comparing our Sanger-generated sequences to each subfamily consensus sequence (Jurka 2000; Jurka et al. 2005). These alignments unveiled an abundance of variation within each subfamily providing evidence for a dynamic and continuous evolution of human *Alu* subfamilies (fig. 4A–C).

Of the 45 *AluY* elements sequenced, 42 were considered full length for the purpose of subfamily determination (at least 275 bp). Of these 42, nearly 80% ( $N=33$ ) were  $\leq 2\%$  diverged from the ancestral *AluY* consensus sequence, consistent with being relatively young. Although none was exact match to the *AluY* consensus sequence in this data set, several ( $N=6$ ) only had one substitution when the variable middle A-rich region was excluded, a G to A transition at either position 145 or 148. The four *AluY* loci with the G to A substitution at position 145 were loci 63, 204, 280, and 445. Based on the reported evolution of *Ya*-lineage diagnostic nucleotides, these four elements can be considered members of the *Ya1* subfamily (Shen et al. 1991; Roy et al. 2000). The two *AluY* loci with a single G to A substitution at position 148 were loci 234 and 616. This single change from the *AluY* consensus sequence corresponds with an *AluYc1* as defined previously by Roy-Engel et al. (2001) and others (Garber et al. 2005). In total, our *AluY* data set contained 17 full-length elements that shared this substitution, the two previously mentioned, eight with one additional substitution, including loci 156 and 357 matching the *AluYc2* consensus sequence (Jurka et al. 2002) and seven with two or more additional mutations. Locus 357 also possesses all the known hallmarks of being a potential source element as described above.

We also identified four other *AluY* loci in our data set with evidence of subfamily evolution along the *Ya*-lineage. Locus 674 and exon-targeted locus 34 both have two of the five *AluYa5* diagnostic substitutions, the T to C transition at position 89 (1st of 5) and the C to T GpG mutation at position 174



**Fig. 4.**—High-resolution distribution of *Alu* subfamilies following sequence alignment analysis for the three primary subfamilies identified in our data set. (A) 42 *AluY* elements, (B) 67 *AluYb8* and 11 *Yb9* elements and (C) 149 *AluYa5* elements. Red font indicates subfamilies discovered in this study.

(4th of 5). Locus 429 also has two of the five *AluYa5* diagnostic nucleotides, the 1st of 5 as described above, and the C to A transversion at position 96 (2nd of 5). Therefore, these are variants of the Ya2 lineage. In addition, *Alu* locus 92 is a member of the Ya3 lineage and contains three of the five Ya5 diagnostic changes, the T to C transition at position 89, the G to A at position 145, and the C to T GpG mutation at position 174. The fourth, *Alu* locus 143 contains all five of the *AluYa5* diagnostic nucleotide substitutions (supplementary file S5, Supplementary Material online, alignment file). *Alu* locus 143 was initially classified as a member of the *AluYk3* subfamily, but we were unable to identify a known consensus sequence available for this subfamily for comparison. Also noting that this sequence did not appear to be intermittent between an *AluY* and an *Yk4* (for which there is a consensus sequence available), we determined it was prudent to classify

this locus as an *AluY* until further analysis, even though it was 2.9% diverged from the *AluY* consensus sequence. Upon alignment, *Alu* locus 143 contains all five of the *AluYa5* diagnostic nucleotide substitutions. This has been noted in supplementary file S3, table S1, Supplementary Material online.

*AluY* sequence alignments also provided evidence for evolution along the Yb-lineage. *Alu* locus 269 has the first and third diagnostic substitutions of the Yb8 lineage, consistent with the Yb2.6 subfamily (Carroll et al. 2001). Also, locus 733, at 3% diverged from the *AluY* consensus sequence, contains the first six of the eight Yb8 diagnostic changes, lacking only the C to G transversion for the seventh substitution and the duplication as the eighth change near the 3'-end of the element. This is consistent with the Yb6.2 subfamily (Carroll et al. 2001). A sequence alignment of our *AluY* loci is available in BioEdit (Hall 1999) as supplementary file S5, Supplementary

**Material** online. Therefore, at higher resolution the 42 full-length *AluY* elements obtained from Sanger sequence alignments show gradients of subfamily substructure among young *AluY* insertions (fig. 4A).

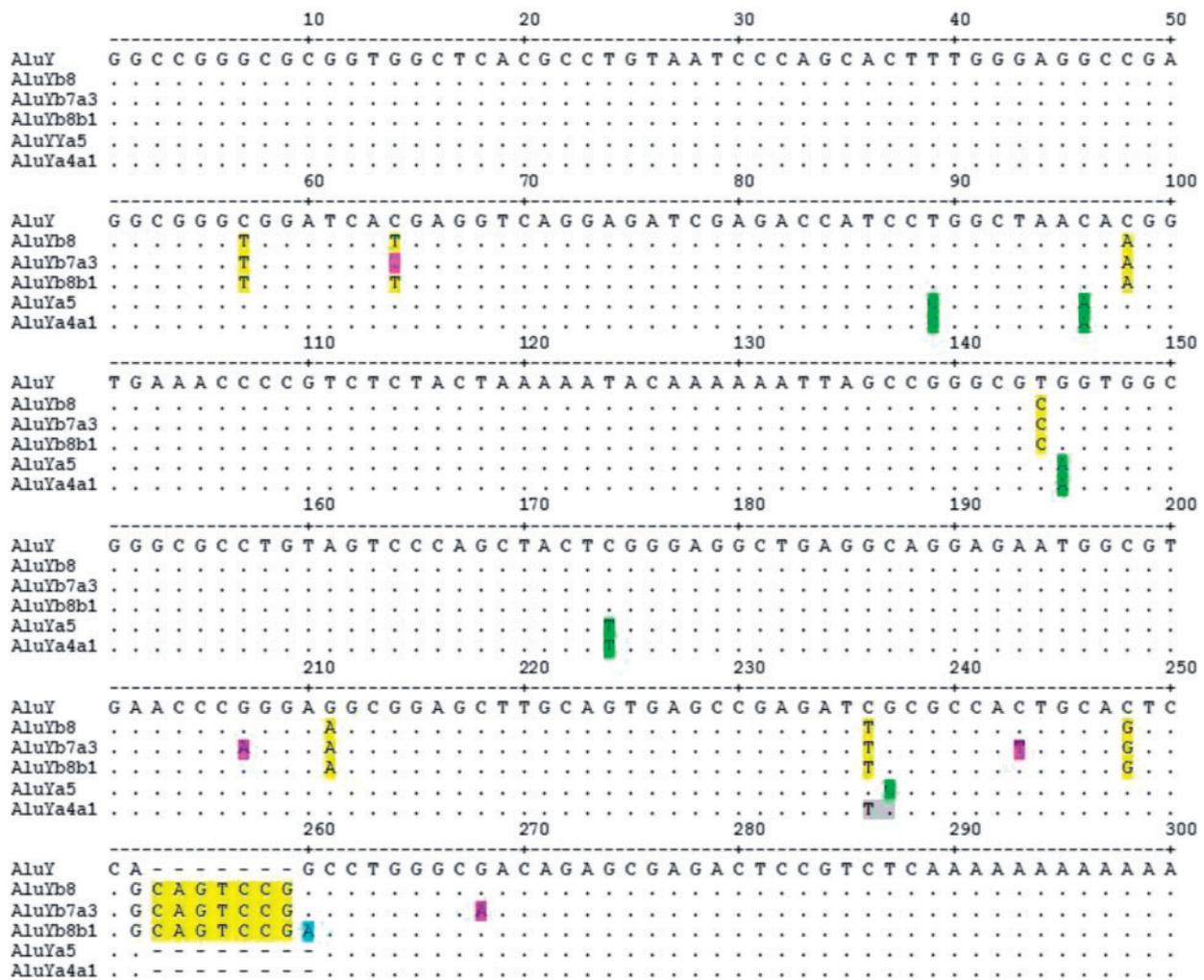
We also performed sequence alignments of all the *AluYb8* ( $N=77$ ) and *AluYb9* ( $N=13$ ) elements from our data set (**supplementary file S6, Supplementary Material** online). Of the 77 *Yb8* elements, 67 were full length, and of the 13 *Yb9* elements, 11 were considered full length (at least 275 bp) for subfamily determination. Loci 36 and 598 lack the *Yb8* diagnostic T to C substitution at position 144, consistent with the previously described *Yb7.2* subfamily (Carroll et al. 2001). We recovered seven *Yb8* elements lacking the diagnostic CpG mutation at position 64 (loci 8, 116, 216, 262, 327, 371, and 381), consistent with the *Yb7.3* subfamily (Carroll et al. 2001). Of these, locus 216 was also lacking two additional diagnostic nucleotides of the *Yb8* subfamily, giving the appearance of an intermediate *Yb5* element along the lineage. Locus 216 is also one of our 28 potential source elements in the data set. In addition to lacking the CpG mutation at position 64, loci 8, 116, and 327 of the *Yb7* elements also shared three additional unique modifications (G to A at position 207, C to T at position 243, and G to A at position 268) which do not appear to match the consensus sequence of any previously characterized *Alu* subfamily. For loci 8 and 327, these are the only other substitutions. We have named these *Yb7a3* following the standardized nomenclature for *Alu* repeats (Batzer et al. 1996) (fig. 5). A BLAT (Kent 2002) search using the locus 327 consensus sequence reveals eight exact matches in [hg19] (table 1) and zero exact matches in chimpanzee [panTro4] indicating this is a human-specific independent subfamily. These eight loci from the reference genome are generally located in high repeat regions with four of the eight insertions occurring directly into another repeat, such as an MIR, (mammalian interspersed repeat) or L1MC (an ancient L1 subfamily), they are relatively young in appearance (1.6% average divergence from the *Yb8* consensus sequence) and all were confirmed by sequence alignments to be exact matches to locus 327 (data not shown).

Ahmed et al. (2013) recently reported the identification of three new *AluYb* subfamilies they termed, *Yb8a1*, *Yb10* and *Yb11*. Using the consensus sequences provided in that report, we screened our data set and identified one locus corresponding to each of these three new subfamilies (see **supplementary file S6, Supplementary Material** online, sequence alignment). Our locus 58 (*Yb8*) contains the G to A substitution at position 259, consistent with *Yb8a1* as defined by Ahmed et al. (2013). Our locus 325 shares this same change as well as having the G at position 174, the diagnostic ninth mutation defining *Yb9* from *Yb8*, together now termed *AluYb10* (Ahmed et al. 2013). Our locus 613 has both these mutations and in addition has the T insertion at position 200-1, diagnostic changes of the recently reported *Yb11* subfamily (Wang et al. 2006; Ahmed et al. 2013). Our *Yb10* and *Yb11* loci are

also reported in the supplementary data of Ahmed et al. (2013), Additional file 3, table S2, Supplementary Material online, as ID P1\_MEI\_27 and ID P1\_MEI\_275, respectively. However, our identification of locus 58 as belonging to the newly defined *Yb8a1* subfamily does not appear to have been reported previously.

Our *Yb8* sequence alignments also revealed ten other *Alu* insertion events, containing all eight diagnostic changes, plus a shared G to A transition at position 260 (loci 100, 264, 275, 298, 323, 348, 352, 384, 413, and exon-targeted locus 44). For loci 100, 323, 352, 384 and exon-targeted locus 44, this is the only additional substitution (**supplementary file S6, Supplementary Material** online). We have named these *Yb8b1* (fig. 5) following the standardized nomenclature (Batzer et al. 1996) because *Yb8a1* was recently used by Ahmed et al. (2013) and this represents a different single variant of *Yb8*. A BLAT (Kent 2002) search using locus 384 finds 25 exact matches in [hg19] (table 2) and zero exact matches in chimpanzee [panTro4], further evidence that this is a separate human-specific subfamily. As with *Yb7a3*, these exact matches from the reference genome are generally located in high repeat regions with 7 of the 25 insertions occurring directly into another repeat, they are relatively young in appearance (0.7% average divergence from *Yb8*), and all were confirmed by sequence alignments to be exact matches to locus 327 (exceptions: chr8:113225903 has an extra adenosine in the middle A-rich region; chr3:155410974 is missing the first G of the *Alu* element at position 1) (data not shown). A more refined breakdown of the *AluYb8/9* subfamily evolution in our data set is shown in figure 4B.

The most abundant subfamily in our data set was *AluYa5* ( $N=156$ ) (Batzer et al. 1990, 1996) comprising about 48% of the elements we Sanger sequenced. Of the 156 *Ya5* loci, 149 were considered full length for the purpose of subfamily determination (at least 275 bp). Sequence alignments (**supplementary file S7, Supplementary Material** online) identified considerable substructure within the *Ya5* data set suggestive of continuous ongoing evolution of *Alu* subfamilies. Not unexpectedly, six loci were identified as *Ya5a2* elements, 251, 291, 339, 373, 583, and 729. Of these six, loci 251, 291, and 583 were exact matches to the consensus sequence for the *Ya5a2* subfamily as characterized by Roy et al. (2000) (**supplementary file S7, Supplementary Material** online). Most notably our *Ya5* data set contained a total of 11 elements missing the fifth diagnostic *Ya5* substitution (G to C at position 237) and instead shared a C to T CpG mutation at adjacent position 236. Six of these, loci 168, 394, 283, 350, 671, and 742 had no other random mutations, whereas the other five, loci 214, 270, 353, 368 and exon-targeted locus 52 contained additional substitutions. This does not match the consensus sequence of any previously characterized *Alu* subfamily. We have named these *AluYa4a1* for the four diagnostic changes of an *Ya5* plus one additional substitution (fig. 5). A BLAT search using locus 168 provides 13 exact matches for this



**Fig. 5.**—An alignment diagram showing the consensus sequence of the three new subfamilies discovered in this study, *AluYb7a3*, *Yb8b1*, and *Ya4a1*. The consensus sequence for *AluY* is shown on the top row along with a base pair ruler. The dots represent the same nucleotide as the consensus *AluY*. Diagnostic mutations of *AluYb8* are highlighted in yellow and the five diagnostic mutations of *AluYa5* are highlighted in green. Diagnostic nucleotide changes of the new subfamilies, *Yb7a3*, *Yb8b1* and *Ya4a1* are highlighted in pink, blue and gray, respectively.

**Table 1**

[hg19] Coordinates of *Yb7a3* Exact Matches

CHR	STR	Start	End	Span	Identity (%)
6	—	158876118	158876405	288	100.00
4	—	64442586	64442873	288	100.00
17	—	75236003	75236290	288	100.00
X	+	81065458	81065745	288	100.00
7	+	31070590	31070877	288	100.00
2	+	87339719	87340006	288	100.00
14	+	33520199	33520486	288	100.00
1	+	66079155	66079442	288	100.00

consensus sequence in [hg19] (table 3) and zero exact matches in chimpanzee [panTro4] indicating this is a human-specific subfamily. In addition, loci 350 and 671, exact matches to this consensus sequence, also have the

TTTT pol III termination signal within 15 bp of the A-tail, and are among our 28 candidate source elements with the potential to generate new copies. Furthermore, [hg19] locus chr10:64784930 displays a perfect A-tail 38 bp long and the

**Table 2**

[hg19] Coordinates of AluYb8b1 Exact Matches

CHR	STR	Start	End	Span	Identity (%)
4	–	190253873	190254160	288	100.00
4	–	167108142	167108429	288	100.00
4	–	81302578	81302865	288	100.00
3	–	54843357	54843644	288	100.00
3	–	35051698	35051985	288	100.00
2	–	155891208	155891495	288	100.00
19	–	57111577	57111864	288	100.00
18	–	14823017	14823304	288	100.00
16	–	74601478	74601765	288	100.00
12	–	114215012	114215299	288	100.00
12	–	84484513	84484800	288	100.00
11	–	113940842	113941129	288	100.00
1	–	220285488	220285775	288	100.00
1	–	8493632	8493919	288	100.00
7	+	73190208	73190495	288	100.00
7	+	38513023	38513310	288	100.00
5	+	24452853	24453140	288	100.00
3	+	3873218	3873505	288	100.00
21	+	16257078	16257365	288	100.00
20	+	33621667	33621954	288	100.00
18	+	37061647	37061934	288	100.00
11	+	58809303	58809590	288	100.00
1	+	81687798	81688085	288	100.00
8	–	113225903	113226191	289	100.00
3	+	155410974	155411260	287	100.00

TTTT termination signal within the 3' TSD (data not shown). We also identified numerous other matches to previously identified subfamilies of the Ya-lineage (Shen et al. 1991; Roy et al. 2000; Jurka et al. 2002) summarized in table 4. A more refined illustration of the subfamily evolution within our Ya5 elements is shown as figure 4C. When we redraw the distribution of active human *Alu* subfamilies in our data set to incorporate the combined findings of this study, a more complex network emerges (fig. 6) providing a more realistic illustration of the dynamic evolution of *Alu* subfamilies.

## Discussion

The purpose of this study was not necessarily to identify new *Alu* subfamilies or to potentially introduce more confusion with regard to *Alu* nomenclature. Presently, there are instances in the literature where more than one consensus sequence has been reported for a given subfamily name, such as *AluYa1* (Roy et al. 2000; Jurka et al. 2002) and *Yb10* (Ahmed et al. 2013; Teixeira-Silva et al. 2013), or conversely for which a single consensus sequence has been given different names, such as *Yc* (Smit et al. 1996–2010) and *Yd* (Jurka 2000; Xing et al. 2003) as subfamilies are discovered by multiple investigators at nearly the same time. However, a systematic evaluation of our Sanger-

sequenced *Alu* elements revealed a dynamic and continuous process of *Alu* subfamily evolution worthy of report and discussion. It has long been recognized that the evolution of *Alu* subfamilies is a complex proliferation in which the “tree” of subfamilies is more “bush-like” in appearance with many active secondary source elements sprouting new lineages (Cordaux et al. 2004; Price et al. 2004).

The findings of this study support a “bush-like” evolutionary model and are consistent with the modified “master gene” model of *Alu* amplification, or “stealth model” of *Alu* amplification where a few members remain active over time to preserve the lineage (Deininger et al. 1992; Han et al. 2005). Sequence alignment analyses of the three most prolific subfamilies in our data set, *AluY*, *Yb8/9* and *Ya5*, revealed the existence of at least three new human-specific *Alu* subfamilies actively propagating new copies in human populations. Traditionally, a single CpG mutation, such as the diagnostic variant defining *AluYa4a1*, would not warrant naming a new *Alu* subfamily simply because CpG sites have six to ten times faster mutation rates than non-CpG sites (Labuda and Striker 1989; Batzer et al. 1990; Xing et al. 2004), increasing the potential for independently occurring random mutation events rather than authentic diagnostic variants. However, given that we identified 24 independent insertion events matching this variation of the *AluYa5* lineage and at least

**Table 3**

[hg19] Coordinates of Ya4a1 Exact Matches

CHR	STR	Start	End	Span	Identity (%)
X	–	108225804	108226084	281	100.00
7	–	102476075	102476355	281	100.00
5	–	33200890	33201170	281	100.00
21	–	17522260	17522540	281	100.00
17	–	5933644	5933924	281	100.00
10	–	64784930	64785210	281	100.00
7	+	56353698	56353978	281	100.00
7	+	25044613	25044893	281	100.00
5	+	24091623	24091903	281	100.00
3	+	174705078	174705358	281	100.00
3	+	148844387	148844667	281	100.00
18	+	32661973	32662253	281	100.00
8	–	7773890	7774171	282	100.00

**Table 4**Distribution of *AluYa5* Elements Based on Sequence Alignments

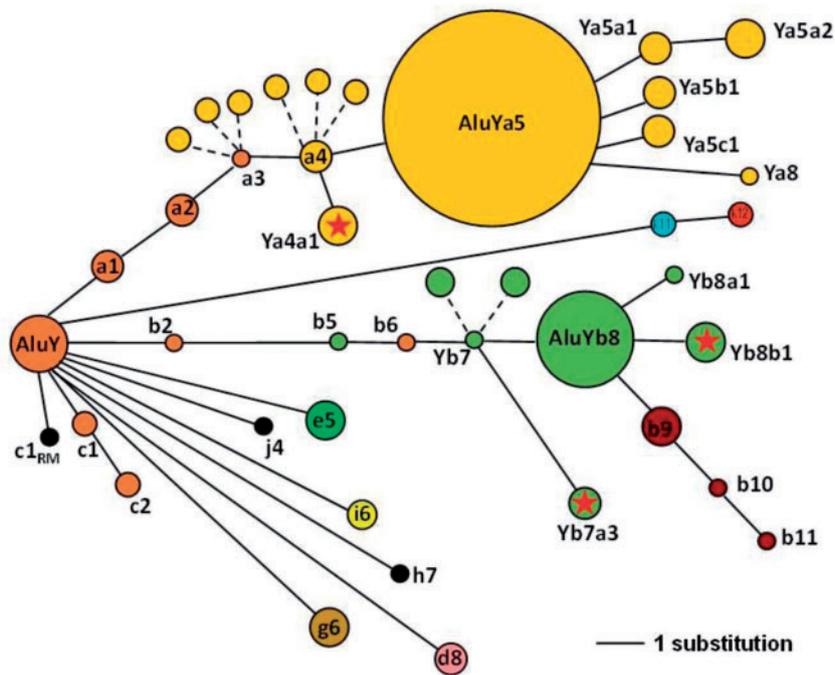
	Set 1	Set 2	Set 3	Set 4	Total	Reference
% Divergence from Ya5 consensus	0.0	0.3	0.4 to 0.9	1.0 to 1.9		RepeatMasker, Smit et al. (1996–2010)
Ya5	24	31	17	31	103	Batzer et al. (1990); Batzer et al. (1996)
Ya5a1	0	0	2	1	3	Roy et al. (2000)
Ya5a2	0	5	1	0	6	Roy et al. (2000)
Ya5b1	0	2	0	0	2	Roy et al. (2000)
Ya5c1	0	4	0	0	4	Roy et al. (2000)
Ya3.1	0	0	0	2	2	Shen et al. (1991); Roy et al. (2000)
Ya3.3	0	0	0	2	2	Shen et al. (1991); Roy et al. (2000)
Ya3.4	0	0	0	2	2	Shen et al. (1991); Roy et al. (2000)
Ya4.2	0	3	0	0	3	Shen et al. (1991); Roy et al. (2000)
Ya4.3	0	2	2	0	4	Shen et al. (1991); Roy et al. (2000)
Ya4.4	0	0	3	0	3	Shen et al. (1991); Roy et al. (2000)
Ya4.5 (Ya4)	0	4	0	0	4	Shen et al. (1991); Roy et al. (2000); Jurka et al. (2002)
Ya4a1	0	0	5	6	11	This study
Total	24	51	30	44	149	

the 11 from our data set are confirmed to be young polymorphic events, we are confident in reporting this as a new actively propagating human-specific *Alu* subfamily.

All the *Alu* insertion events identified in this study were derived from the *AluY* lineage. We did not find any evidence of older *AluJ* or *AluS* subfamily amplification in these human populations. This is in contrast to some previous reports (Mills et al. 2006; Hormozdiari et al. 2011). However, in these previous studies the loci were computationally ascertained using nonoverlapping data sets. Although it has been well established that *Alu* subfamilies greater than 20 Myr old can still have active members (Johanning et al. 2003; Salem et al. 2005), our findings suggest that in vivo retrotransposition of *AluS* is minimal in humans. Bennett et al. (2008) demonstrated *AluS* activity using a plasmid-based mobilization assay but concluded that sequence decay of older *AluS* elements in vivo occurred more rapidly than the propagation of new copies, supporting a model for their extinction. In

general, these findings are consistent with cell culture *Alu* mobilization assays. In a recent study, *Alu* elements that were pol III-bound lacked the sequence characteristics important for retrotransposition and the majority of these pol III-bound *Alu* loci belonged to the older *AluS* and *AluJ* subfamilies (Oler et al. 2012). Whereas, the plasmid-based mobilization assay reported that *AluY* and all of the younger *AluY* subfamilies demonstrated activity (Bennett et al. 2008). The sequence features of the *Alu* insertion events identified in this study are also comparable to those recovered from tissue culture assays (Wagstaff et al. 2012).

Can the results of this study help us to estimate the number of source *Alu* driver elements in the human genome? We identified 28 potential source elements from our data set alone based on the traditional hallmarks associated with retrotransposition activity, two of which belong to the new *AluYa4a1* subfamily. We also report the bush-like proliferation of at least 42 active *Alu* subfamilies from our data set (as



**Fig. 6.**—A schematic drawing of a network diagram for the high-resolution distribution of *Alu* subfamilies analyzed in this study to illustrate the “bush-like” evolutionary tree. The diameter of each primary node is roughly proportional to the number of elements within the subfamily (*AluY*:  $N = 27$ ; *Yb8*:  $N = 47$  and *Ya5*:  $N = 103$ ), whereas the smallest node equals a single element (i.e., *Ya8*, *Yb10*, *Yj4*, etc.). The distance between nodes represents the number of nucleotide substitutions from the most recent ancestral node (solid lines). For example, *Yb7a3* is three substitution lengths from the *Yb7* node. Dashed lines indicate nodes with different combinations of the same number of diagnostic variants, without an additional substitution. For example, the dashed lines branching from the *Ya3* and *Ya4* nodes depict the *Ya3.1*, *Ya3.3*, *Ya3.4* or *Ya4.2*, *Ya4.3* and *Ya4.4* elements in our data set, as defined by Shen et al. (1991) and Roy et al. (2000). The dashed lines branching from the *Yb7* node depict *Yb7.2* and *Yb7.3* elements in our data set, as defined by Carroll et al. (2001). The *AluY* lineage is shown in orange, *Yb8* in green, and *Ya5* in yellow. The RM subscript at the base of the *AluYc1* node shown in black denotes this as a RepeatMasker-defined consensus sequence, as opposed to *Yc1* as defined by Roy-Engel et al. (2001) and others. A red star within the node represents the three new subfamilies discovered in this study.

shown in fig. 6). Given that each active *Alu* subfamily must have at least one source element by definition, then the human genome must contain a minimum of 42 active driver *Alu* elements. Further, it is estimated that about 15% of *Alu* subfamily members can remain active as secondary source elements continuing to generate new subfamily members (Cordaux et al. 2004). Extrapolating these numbers means that an individual human genome could realistically harbor several hundred source driver elements and potentially many more.

The purpose of this study was to report the complete sequences for a broad subset of validated *Alu* insertions from the Pilot 1000 Genomes Project. A comprehensive analysis of the sequence structure for 322 unique polymorphic *Alu* MEI events illustrates that their impact on human genome structural variation is dynamic and ongoing. Separating *AluY* elements into smaller and more refined subfamilies with evidence of active proliferation is undoubtedly far from complete. We can expect this to continue into the foreseeable future as detection algorithms continue to improve. Enhanced sensitivity

and accuracy of MEI detection methods will undoubtedly reveal a greater number of rare population-specific and novel *Alu* insertions from the ongoing 1000 Genomes Project as well as from other strategies which take advantage of emerging technology in high-throughput-targeted sequencing. By understanding more about the complex patterns of *Alu* proliferation we can gain further insight into their impact on structural variation in human populations.

## Supplementary Material

Supplementary files S1–S8 including tables S1–S5 are available at *Genome Biology and Evolution* online (<http://www.gbe.oxfordjournals.org>).

## Authors' Information

J.S. conducted experiments for this project in the Department of Biological Sciences, LSU-Baton Rouge as a participant in the Howard Hughes Medical Institute (HHMI) Summer Research Program while completing a degree in Biological Sciences at

Providence College in Rhode Island. J.S. is currently a graduate student in the Department of Molecular, Cellular and Developmental Biology at The Ohio State University.

## Acknowledgments

Membership of the 1000 Genomes Project is listed in [supplementary file S8, Supplementary Material online](#). The authors thank all the members of the Batzer Lab for their helpful suggestions and the 1000 Genomes Consortium. This research was supported by the National Institute of Health R01 GM59290 (M.A.B.) and U41 HG007497 (M.A.B. and M.K.K.). A.B.H. and J.S. were supported in part by a grant to Louisiana State University from the Howard Hughes Medical Institute (HHMI) through the Precollege and Undergraduate Science Education Program. The authors also honor the memory of Dr Jerzy Jurka, founder of Repbase Update, for his lifelong contribution to the study of repetitive DNA. The authors declare that they have no competing interests.

## Literature Cited

- Abecasis GR, et al. 2010. A map of human genome variation from population-scale sequencing. *Nature* 467:1061–1073.
- Abecasis GR, et al. 2012. An integrated map of genetic variation from 1,092 human genomes. *Nature* 491:56–65.
- Ade C, Roy-Engel AM, Deininger PL. 2013. Alu elements: an intrinsic source of human genome instability. *Curr Opin Virol* 3:639–645.
- Ahmed M, Li W, Liang P. 2013. Identification of three new Alu Yb subfamilies by source tracking of recently integrated Alu Yb elements. *Mob DNA* 4:25.
- Batzer MA, Deininger PL. 2002. Alu repeats and human genomic diversity. *Nat Rev Genet* 3:370–379.
- Batzer MA, et al. 1990. Structure and variability of recently inserted Alu family members. *Nucleic Acids Res* 18:6793–6798.
- Batzer MA, et al. 1996. Standardized nomenclature for Alu repeats. *J Mol Evol* 42:3–6.
- Beck CR, et al. 2010. LINE-1 retrotransposition activity in human genomes. *Cell* 141:1159–1170.
- Beck CR, Garcia-Perez JL, Badge RM, Moran JV. 2011. LINE-1 elements in structural variation and disease. *Annu Rev Genomics Hum Genet* 12:187–215.
- Bennett EA, et al. 2008. Active Alu retrotransposons in the human genome. *Genome Res* 18:1875–1883.
- Callinan PA, Batzer MA. 2006. Retrotransposable elements and human disease. *Genome Dyn* 1:104–115.
- Carroll ML, et al. 2001. Large-scale analysis of the Alu Ya5 and Yb8 subfamilies and their contribution to human genomic diversity. *J Mol Biol* 311:17–40.
- Comeaux MS, Roy-Engel AM, Hedges DJ, Deininger PL. 2009. Diverse cis factors controlling Alu retrotransposition: what causes Alu elements to die? *Genome Res* 19:545–555.
- Cook GW, et al. 2011. Alu pair exclusions in the human genome. *Mob DNA* 2:10.
- Cordaux R, Batzer MA. 2009. The impact of retrotransposons on human genome evolution. *Nat Rev Genet* 10:691–703.
- Cordaux R, Hedges DJ, Batzer MA. 2004. Retrotransposition of Alu elements: how many sources? *Trends Genet* 20:464–467.
- Cordaux R, Hedges DJ, Herke SW, Batzer MA. 2006. Estimating the retrotransposition rate of human Alu elements. *Gene* 373:134–137.
- Crooks GE, Hon G, Chandonia JM, Brenner SE. 2004. WebLogo: a sequence logo generator. *Genome Res* 14:1188–1190.
- de Koning AP, Gu W, Castoe TA, Batzer MA, Pollock DD. 2011. Repetitive elements may comprise over two-thirds of the human genome. *PLoS Genet* 7:e1002384.
- Deininger P. 2011. Alu elements: know the SINEs. *Genome Biol* 12:236.
- Deininger PL, Batzer MA. 1999. Alu repeats and human disease. *Mol Genet Metab* 67:183–193.
- Deininger PL, Batzer MA, Hutchison CA 3rd, Edgell MH. 1992. Master genes in mammalian repetitive DNA amplification. *Trends Genet* 8:307–311.
- Dewannieux M, Esnault C, Heidmann T. 2003. LINE-mediated retrotransposition of marked Alu sequences. *Nat Genet* 35:41–48.
- Dewannieux M, Heidmann T. 2005. Role of poly(A) tail length in Alu retrotransposition. *Genomics* 86:378–381.
- Feng Q, Moran JV, Kazazian HH Jr, Boeke JD. 1996. Human L1 retrotransposon encodes a conserved endonuclease required for retrotransposition. *Cell* 87:905–916.
- Garber RK, Hedges DJ, Herke SW, Hazard NW, Batzer MA. 2005. The Alu Yc1 subfamily: sorting the wheat from the chaff. *Cytogenet Genome Res* 110:537–542.
- Hall TA. 1999. BioEdit: a user-friendly biological sequence alignment editor and analysis program for Windows 95/98/NT. *Nucleic Acids Symp Ser* 41:95–98.
- Han K, et al. 2005. Under the genomic radar: the stealth model of Alu amplification. *Genome Res* 15:655–664.
- Han K, et al. 2007. Alu recombination-mediated structural deletions in the chimpanzee genome. *PLoS Genet* 3:1939–1949.
- Hormozdiari F, et al. 2011. Alu repeat discovery and characterization within human genomes. *Genome Res* 21:840–849.
- Johanning K, et al. 2003. Potential for retroposition by old Alu subfamilies. *J Mol Evol* 56:658–664.
- Jurka J. 1997. Sequence patterns indicate an enzymatic involvement in integration of mammalian retrotransposons. *Proc Natl Acad Sci U S A* 94:1872–1877.
- Jurka J. 2000. Repbase update: a database and an electronic journal of repetitive elements. *Trends Genet* 16:418–420.
- Jurka J, et al. 2005. Repbase Update, a database of eukaryotic repetitive elements. *Cytogenet Genome Res* 110:462–467.
- Jurka J, Krmjajic M, Kapitonov VV, Stenger JE, Kokhany O. 2002. Active Alu elements are passed primarily through paternal germlines. *Theor Popul Biol* 61:519–530.
- Kent WJ. 2002. BLAT—the BLAST-like alignment tool. *Genome Res* 12:656–664.
- Kent WJ, et al. 2002. The human genome browser at UCSC. *Genome Res* 12:996–1006.
- Konkel MK, Batzer MA. 2010. A mobile threat to genome stability: the impact of non-LTR retrotransposons upon the human genome. *Semin Cancer Biol* 20:211–221.
- Konkel MK, Walker JA, Batzer MA. 2010. LINEs and SINEs of primate evolution. *Evol Anthropol* 19:236–249.
- Labuda D, Striker G. 1989. Sequence conservation in Alu evolution. *Nucleic Acids Res* 17:2477–2491.
- Lander ES, et al. 2001. Initial sequencing and analysis of the human genome. *Nature* 409:860–921.
- Lee J, Han K, Meyer TJ, Kim HS, Batzer MA. 2008. Chromosomal inversions between human and chimpanzee lineages caused by retrotransposons. *PLoS One* 3:e4047.
- Luan DD, Korman MH, Jakubczak JL, Eickbush TH. 1993. Reverse transcription of R2Bm RNA is primed by a nick at the chromosomal target site: a mechanism for non-LTR retrotransposition. *Cell* 72:595–605.
- Mills RE, Bennett EA, Iskow RC, Devine SE. 2007. Which transposable elements are active in the human genome? *Trends Genet* 23:183–191.

- Mills RE, et al. 2006. Recently mobilized transposons in the human and chimpanzee genomes. *Am J Hum Genet.* 78:671–679.
- Mills RE, et al. 2011. Mapping copy number variation by population-scale genome sequencing. *Nature* 470:59–65.
- Moran JV, et al. 1996. High frequency retrotransposition in cultured mammalian cells. *Cell* 87:917–927.
- Morrish TA, et al. 2002. DNA repair mediated by endonuclease-independent LINE-1 retrotransposition. *Nat Genet.* 31:159–165.
- Oler AJ, et al. 2012. Alu expression in human cell lines and their retrotranspositional potential. *Mob DNA.* 3:11.
- Price AL, Eskin E, Pevzner PA. 2004. Whole-genome analysis of Alu repeat elements reveals complex evolutionary history. *Genome Res.* 14:2245–2252.
- Roy AM, et al. 2000. Potential gene conversion and source genes for recently integrated Alu elements. *Genome Res.* 10:1485–1495.
- Roy-Engel et al. 2001. Alu insertion polymorphisms for the study of human genomic diversity. *Genetics* 159:279–290.
- Roy-Engel AM, et al. 2002. Active Alu element “A-tails”: size does matter. *Genome Res.* 12:1333–1344.
- Rozen S, Skaletsky HJ. 1998. Primer3. Code available at [http://www-genome.wi.mit.edu/genome\\_software/other/primer3.html](http://www-genome.wi.mit.edu/genome_software/other/primer3.html)
- Salem AH, Ray DA, Hedges DJ, Jurka J, Batzer MA. 2005. Analysis of the human Alu Ye lineage. *BMC Evol Biol.* 5:18.
- Sanger F, Nicklen S, Coulson AR. 1977. DNA sequencing with chain-terminating inhibitors. *Proc Natl Acad Sci U S A.* 74:5463–5467.
- Schneider TD, Stephens RM. 1990. Sequence logos: a new way to display consensus sequences. *Nucleic Acids Res.* 18:6097–6100.
- Sen SK, et al. 2006. Human genomic deletions mediated by recombination between Alu elements. *Am J Hum Genet.* 79:41–53.
- Shen MR, Batzer MA, Deininger PL. 1991. Evolution of the master Alu gene(s). *J Mol Evol.* 33:311–320.
- Smit AFA, Hubley R, Green P. 1996–2010. RepeatMasker Open-3.0 [Internet]. Available from: <http://www.repeatmasker.org>
- Stewart C, et al. 2011. A comprehensive map of mobile element insertion polymorphisms in humans. *PLoS Genet.* 7:e1002236.
- Teixeira-Silva A, Silva RM, Carneiro J, Amorim A, Azevedo L. 2013. The role of recombination in the origin and evolution of Alu subfamilies. *PLoS One* 8:e64884.
- Wagstaff BJ, et al. 2012. Rescuing Alu: recovery of new inserts shows LINE-1 preserves Alu activity through A-tail expansion. *PLoS Genet.* 8:e1002842.
- Wang J, et al. 2006. Whole genome computational comparative genomics: a fruitful approach for ascertaining Alu insertion polymorphisms. *Gene* 365:11–20.
- Xing J, et al. 2003. Comprehensive analysis of two Alu Yd subfamilies. *J Mol Evol.* 57(Suppl 1):S76–S89.
- Xing J, et al. 2004. Alu element mutation spectra: molecular clocks and the effect of DNA methylation. *J Mol Biol.* 344:675–682.
- Xing J, et al. 2009. Mobile elements create structural variation: analysis of a complete human genome. *Genome Res.* 19:1516–1526.
- Xing J, Witherspoon DJ, Jorde LB. 2013. Mobile element biology: new possibilities with high-throughput sequencing. *Trends Genet.* 29:280–289.

**Associate editor:** Ellen Pritham